

A MEETING of the AUDIT AND SCRUTINY COMMITTEE will be held in the COUNCIL CHAMBER, COUNCIL HEADQUARTERS, NEWTOWN ST BOSWELLS on MONDAY, 19 MARCH 2018 at 10.15 am.

As previously agreed, there will be a meeting of Members of the Committee at 9.30 am prior to the main meeting. The primary focus of the informal session will be to discuss the self-assessment against the 'Scrutiny Tool for Councillors' from the Audit Scotland report 'Local Government in Scotland – Financial Overview 2016/17'.

J. J. WILKINSON,
Clerk to the Council,

12 March 2018

BUSINESS		
1.	Apologies for Absence.	
2.	Order of Business.	
3.	Declarations of Interest.	
4.	Minute. (Pages 3 - 6) Minute of Meeting of the Audit and Scrutiny Committee held on 15 February 2017 to be approved and signed by the Chairman. (Copy attached.)	5 mins
5.	Risk Management in Services Presentation by Chief Social Work Officer on the Strategic risk facing the Council and social care services and the internal controls and governance in place to manage/mitigate those risks to demonstrate how risk management is embedded within services. (Verbal presentation)	30 mins
6.	Internal Audit Work to February 2018 (Pages 7 - 20) Consider a report by Chief Officer Audit & Risk on findings from recent work carried out by Internal Audit, including the recommended audit actions agreed by management to improve internal controls and governance arrangements, and internal audit work currently in progress. (Copy attached).	15 mins
7.	Scottish Borders Council External Audit Plan for 2017/18 (Pages 21 - 36) Consider overview report and plan by Audit Scotland on how they will deliver	20 mins

	their external audit for Scottish Borders Council for the year ended 31 March 2018 (Copy attached).	
8.	Scottish Borders Pension Fund External Audit Plan for 2017/18 (Pages 37 - 52) Consider overview report and plan by Audit Scotland on how they will deliver their audit for Scottish Borders Pension Fund for the year ended 31 March 2018 including the options on the financial statements. (Copy attached).	15 mins
9.	Internal Audit Charter (Pages 53 - 60) Consider a report by Chief Officer Audit & Risk on the updated internal Audit Charter for approval that defines the terms of reference for the Internal Audit function to carry out its role and to enable the Chief Officer Audit & Risk to prepare an annual internal audit opinion on the adequacy of the Council's overall control environment. (Copy attached)	10 mins
10.	Internal Audit Strategy and Annual Plan 2018/19 (Pages 61 - 72) Consider a report by Chief Officer Audit & Risk on proposed strategic direction to deliver Internal Audit assurance and support services to the Council, the Pension Fund, SB Cares, and the Health and Social Care Integration Joint Board, and on proposed Internal Audit programme of work 2018/19 to enable preparation of the annual options on the adequacy of each organisation's overall control environment. (Copies attached).	20 mins
11.	Any Other Items Previously Circulated.	
12.	Any Other Items which the Chairman Decides are Urgent	

NOTES

1. Timings given above are only indicative and not intended to inhibit Members' discussions.
2. Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.

Membership of Committee:- Councillors S. Bell (Chairman), H. Anderson, K. Chapman, J. A. Fullarton, S. Hamilton (Vice-Chairman), N. Richards, H. Scott, R. Tatler, E. Thornton-Nicol and Mr M Middlemiss.

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